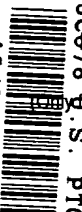


Please type a plus sign [+] inside this box

+

 J0678 P.S. PTO 10/26/01	UTILITY PATENT APPLICATION TRANSMITTAL For new nonprovisional application under 37 C.F.R. § 1.53(b))	Attorney Docket No.	IN-5350
		First Named Inventor	Timothy S. DECEMBER
		Filing Date	October 26, 2001
		Title	ELECTROCOAT RESIN COMPOSITIONS CONTAINING CARBAMATE FUNCTIONAL RESINS HAVING ONE OR MORE QUATERNARY AMMONIUM GROUPS AND AT LEAST ONE CARBAMATE FUNCTIONAL REACTIVE ADDITIVE
		Express Mail Certificate	ET332278995US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231
--	---

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 21]
-(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Application
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Background of the invention
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR§3.73(b) Statement (when there is an assignee) ☐ Power Of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/ PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ other:

4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets ☐]
5. ☒ Oath or Declaration [Total Sheets 02]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR § 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
6. ☐ Application Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/464,431 filed on December 15, 1999.

Prior application information:

Examiner

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

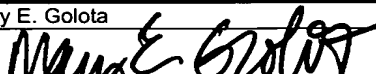
☒ Customer Number or Bar Code Label


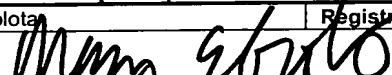
or ☐ Correspondence address below



26922

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2020	Fax	(248) 948-2093
Name (print Type)	Mary E. Golota	Registration No. (Attorney Agent)	36,814		
Signature				Date	October 26, 2001

FEE TRANSMITTAL		Attorney Docket No.	IN-5350
Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997		First Named Inventor	Timothy S. DECEMBER
		Filing Date	October 26, 2001
		Title	ELECTROCOAT RESIN COMPOSITIONS CONTAINING CARBAMATE FUNCTIONAL RESINS HAVING ONE OR MORE QUATERNARY AMMONIUM GROUPS AND AT LEAST ONE CARBAMATE FUNCTIONAL REACTIVE ADDITIVE
		Express Mail Certificate	ET332278995US
TOTAL AMOUNT OF PAYMENT		\$740.00	
METHOD OF PAYMENT		FEE CALCULATION	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to change indicated fees and credit any over payments to:		3. ADDITION FEES	
Deposit Account Number 23-3425		Large Fee Code Entity Fee (\$)	
Deposit Account Name BASF Corporation		105 130 Surcharge -late filing fee or oath	
<input checked="" type="checkbox"/> Change Any Additional Fee <input checked="" type="checkbox"/> Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance		127 50 provisional ailing or cover sheet	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		139 130 Non-English specification	
FEE CALCULATION		147 2,520 For filing request for examination	
1. BASIC FILING FEE		112 920* Requesting publication of SIR prior to Examiner action	
Large Fee Code Entity Fee (\$)		113 1,840 Requesting publication of SIR after Examiner action	
101 710 Utility filing fee \$740.00		115 110 Extension for reply within first month	
106 330 Design filing fee		116 400 Extension for reply within second month	
107 540 Plant filing fee		117 890 Extension for reply within third month	
108 790 Reissue filing fee		118 1,510 Extension for reply within fourth month	
114 150 Provisional filing fee		128 2,060 Extension for reply within fifth month	
SUBTOTAL (2) \$740.00		119 310 Notice of Appeal	
2. EXTRA CLAIM FEES		120 310 Filing a brief in support of an appeal	
Total Claims 05 -20** = 0 x 18 =		121 270 Request for oral hearing	
Independent Claims 01 - 3** = 0 x 84 =		138 1,510 Petition to institute a public use proceeding	
Multiple Dependent 290 =		140 110 Petition to revive-unavoidable	
*Or number previously paid, if greater; For Reissues, see below		141 1,320 Petition to revive-unintentional	
Large Fee Code Entity Fee (\$)		142 1,320 Utility issue fee (or reissue)	
103 18 Claims in excess of 20		143 450 Design issue fee	
102 80 Independent claims in excess of 3		144 670 Plant issue fee	
104 270 Multiple dependent claims, if not paid		122 130 Petition to the Commissioner	
109 82 **Reissue independent claims over original patent		123 50 Petition related to provisional application	
110 22 **Reissue claims in excess of 20 and over original patent		126 240 Submission of information Disclosure Statement	
SUBTOTAL (2) (\$)-		581 40 Recording each patent Assignment per property (time number of properties)	
		146 790 Filing a submission after final rejection	
		149 790 For each additional invention to be examined (37 CFR 1.129(b)) (specify)	
		Other fee Reduced By Basic Fee Paid Filing	
18. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		or <input type="checkbox"/> Correspondence address below	
			
26922			
PATENT-TRADEMARK OFFICE			
Country	USA	Telephone	(248) 948-2020
Name (print Type)	Mary E. Golota	Fax	(248) 948-2093
Signature		Registration No. (Attorney Agent)	36,814
		Date	October 26, 2001

PATENT

(Practitioner's Docket No. IN- 5350)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Timothy S. DECEMBER

US Serial No. : 09/464,431

Filed: December 15, 1999

Group Art Unit: 1712

Examiner: Lovering , R.

For: ELECTROCOAT RESIN COMPOSITIONS CONTAINING CARBAMATE FUNCTIONAL RESINS HAVING ONE OR MORE QUATERNARY AMMONIUM GROUPS AND AT LEAST ONE CARBAMATE FUNCTIONAL REACTIVE ADDITIVE

Assistant Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ET332278995US Date of Deposit October 26, 2001

I hereby certify that the following attached paper or fee

☒ Application
Specification on 19 pages; claims on 01 pages;
the abstract on 01 page(s); drawings on page(s).
☒ Combined Declaration and Power of Attorney on 02 pages

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.